

# Designing Interventions for Health Behaviour Change An Introduction

Dr Jane Walsh

Connected Health Summer School, Florence

27 June 2017



# *National University of Ireland, Galway*



# mHealth Research Group in NUI Galway



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Colleges & Schools

## THE M-HEALTH RESEARCH GROUP AT NUI GALWAY

What we do | **People** | Research | Publications

### Research Focus

The M-Health Research Group was established in NUI Galway in 2014.

The particular focus of this area of research, M-Health, which is led by [Dr. Jane Walsh](#), is an abbreviation for mobile health, a term used for the practice of medicine and public health supported by mobile devices (e.g. mobile phones, tablet computers and PDAs), for health services and information, but also to affect emotional states. M-health applications include the use of mobile devices in collecting community and clinical health data, delivery of healthcare information to practitioners, researchers, and patients, real-time monitoring of patient vital signs and direct provision of care (via mobile telemedicine).

M-health research encompasses a variety of possibilities, including increased access to healthcare and health-related information (particularly for hard-to-reach populations); improved ability to diagnose and track diseases; timelier, more actionable public health information; and expanded access to ongoing medical education and training for health workers.

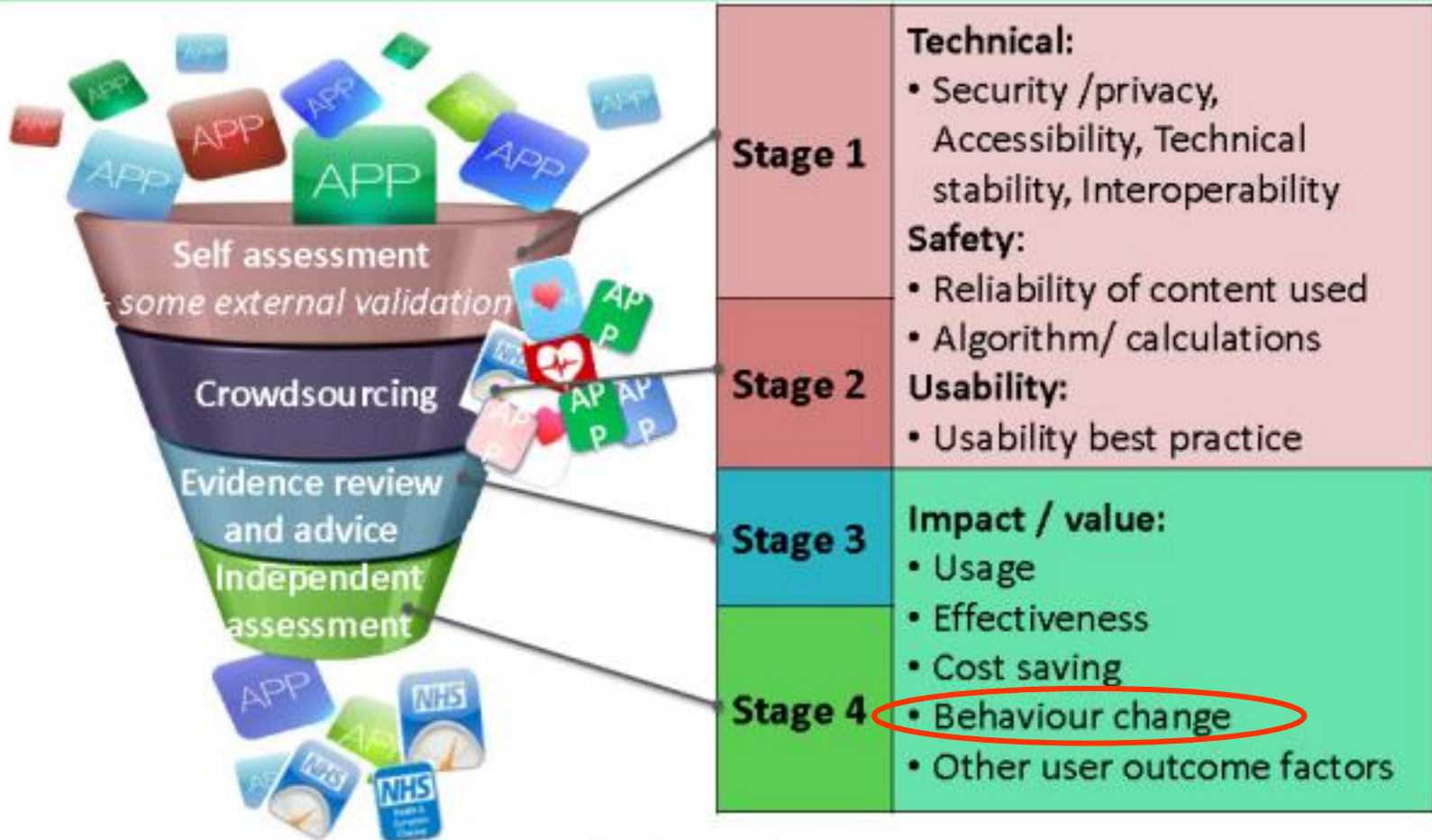




# Draft Guidelines: Assessment of reliability of mobile technology (May 2016)

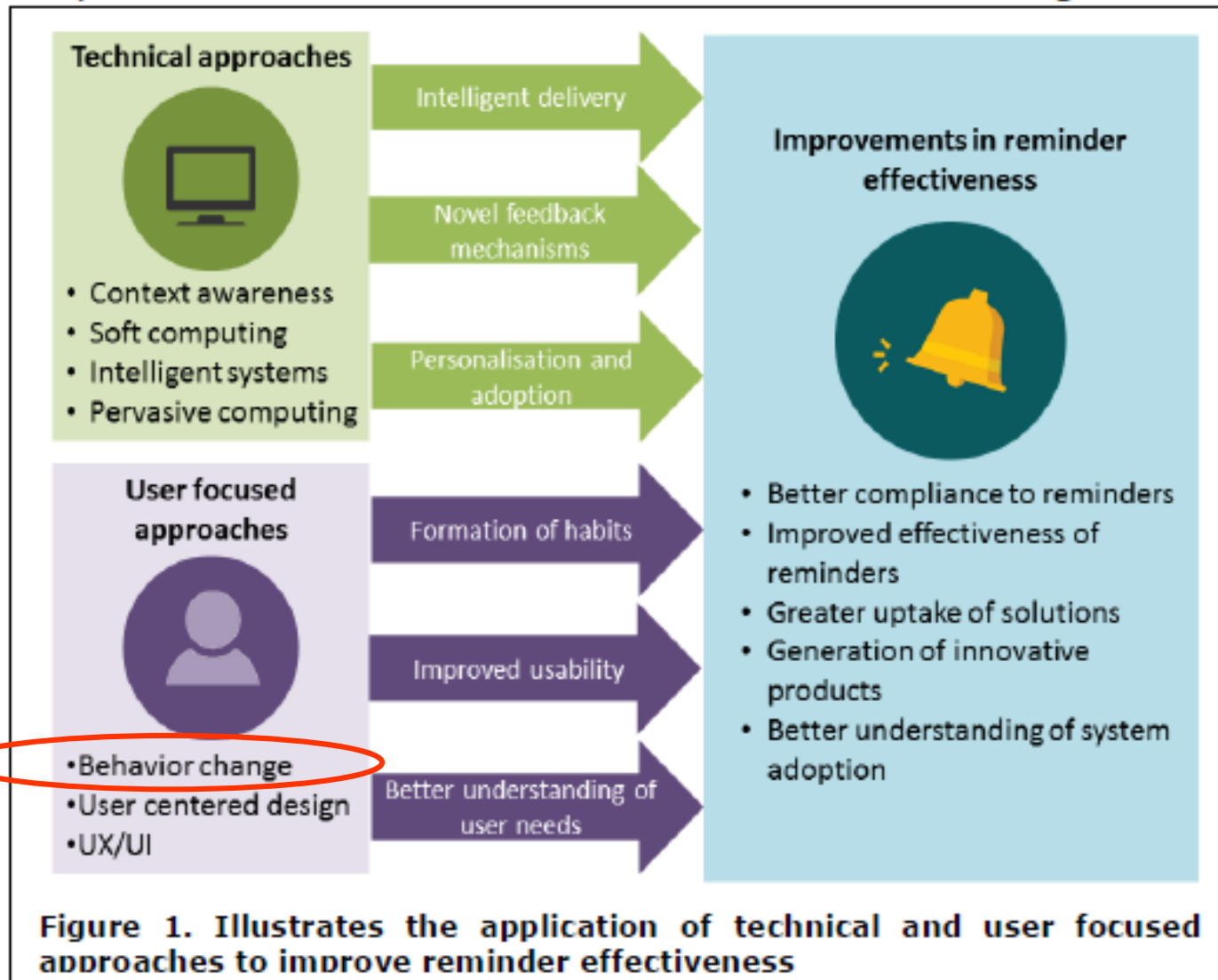
## Phased assessment

NATIONAL INFORMATION BOARD





# Reminding technologies - REMIND



**So what's the problem?**



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 mHealth  
research group  
School of Psychology, National University of Ireland, Galway

**Behaviour change  
interventions  
can be effective**



# A gender-sensitised weight loss and healthy living programme for overweight and obese men delivered by Scottish Premier League football clubs (FFIT): Lancet 2014; 383: 1211-21 randomised controlled trial



Kate Hunt, Sally Wyke, Cindy M Gray, Annie S Anderson, Adrian Brady, Christopher Bunn, Peter T Donnan, Elisabeth Fenwick, Eleanor Grieve, Jim Leishman, Euan Miller, Nanette Mutrie, Petra Rauchhaus, Alan White, Shaun Treweek

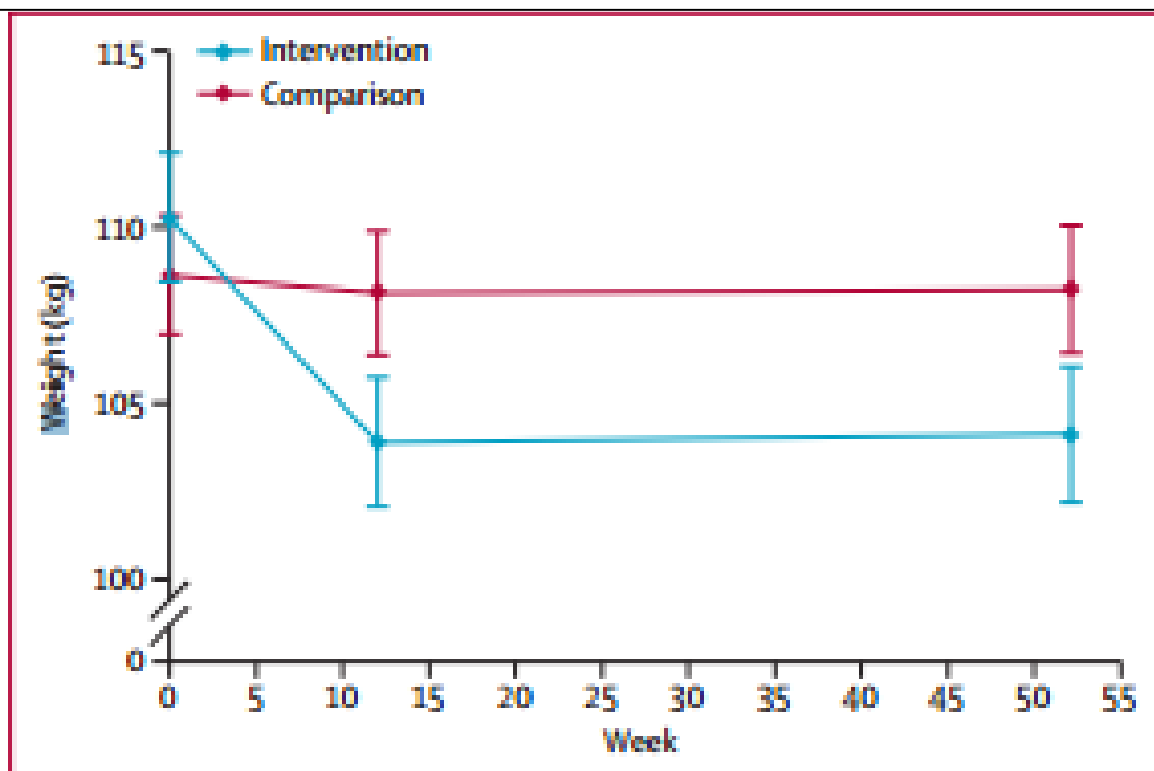


Figure 2: Mean weight (kg, 95% CI) in participants allocated to the Football Fans in Training weight loss programme or waiting list comparison group

# Behaviour change is complicated!



# 100,000 Apps on market

## Do they work?

**myfitnesspal**

TUESDAY / Nov 17, 2009

**Your Daily Summary**

**1569** CALORIES REMAINING

Goal	Food	Exercise	Net
2100	+1010	-479	531

Add to Diary

**Nutrient Summary**

	Total	Goal	Left
Total Fat (g)	20	104	84
Saturated (g)	1	34	33

Home My Diary Progress More

**LIVE SCIENCE**

**HIGH-INTENSITY CIRCUIT TRAINING**  
**The 7-Minute Workout**

Adults should do 150 minutes of moderate exercise (or 75 minutes of intense exercise) weekly, and do muscle-strengthening exercises two days a week, according to recommendations from the Centers for Disease Control and Prevention. People who follow these recommendations get two kinds of exercise:

- weight bearing** (aka strength training), involving muscle contraction to build strength
- aerobic** (aka cardio), meaning exercises meant to boost the heart rate and oxygen use

But a new workout plan from researchers at the Human Performance Institute in Orlando, Fla., recommends a seven-minute exercise regimen.

The high-intensity workout combines both kinds of exercise, using body weight to provide resistance. Each exercise is done for 30 seconds, with a 10-second rest before going on to the next exercise (with breaks included, the routine totals eight minutes).

The entire sequence of 12 exercises can be repeated two or three times if desired.

00:00 <b>JUMPING JACKS</b>	00:40 <b>WALL SIT</b>	01:20 <b>PUSHUPS</b>
02:00 <b>ABDOMINAL CRUNCHES</b>	02:40 <b>STEP-UPS ONTO CHAIR</b>	03:20 <b>SQUATS</b>
04:00 <b>TRICEPS DIPS ON CHAIR</b>	04:40 <b>PLANKS</b>	05:20 <b>HIGH-KNEES RUNNING IN PLACE</b>
06:00 <b>LUNGES</b>	06:40 <b>PUSHUPS &amp; ROTATIONS</b>	07:20 <b>SIDE PLANKS</b>

SOURCES: AMERICAN COLLEGE OF SPORTS MEDICINE, HUMAN PERFORMANCE INSTITUTE  
KARL TATE / © LiveScience.com

AT&T 10:12 PM 73%

**Your Couch-to-5K Progress**

5K +

**COMPLETE**

WEEK 1 DAY 1 30 min

Brisk 5-minute warmup walk.  
Alternate 1 minute of jogging and 1 1/2 minutes of walking for a total of 20 minutes.

REPEAT

Welcome back! Remember, you'll feel great when you finish, so stick with me!

PURE PROTEIN

Log Buddies 5K Events Settings

(Hint: NO)

# HOW ARE TECHNOLOGY INTERVENTIONS DEVELOPED?

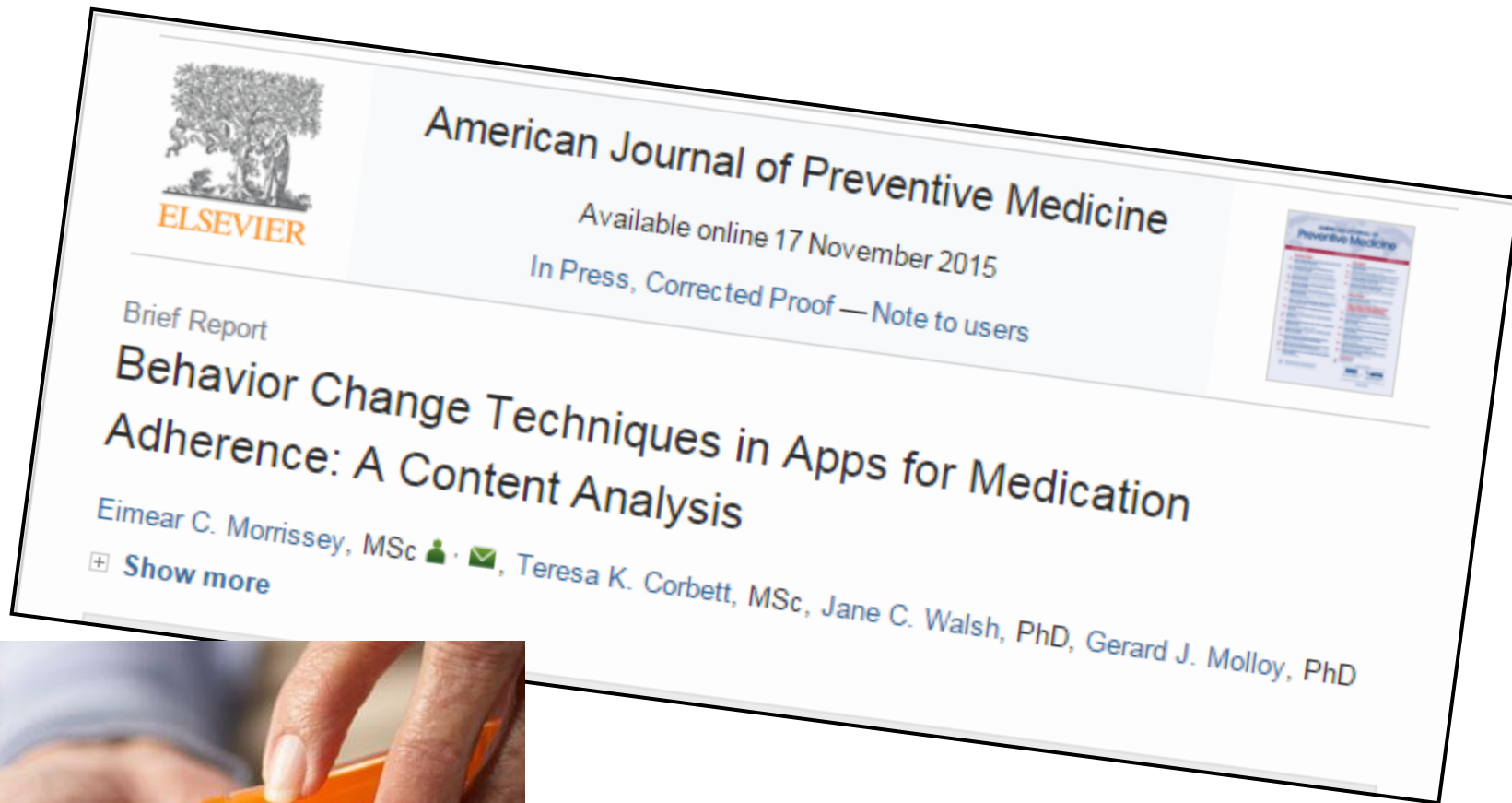
Many interventions designed according to the ISLAGIATT principle


It **S**eemed **L**ike **A** Good **I**dea **A**t **T**he **T**ime

Patient has changed their behaviour!  
Intervention worked!

But how did it work?  
Can we do it again?  
Can we train others to do the same?





 **ELSEVIER**



**American Journal of Preventive Medicine**

Available online 17 November 2015

In Press, Corrected Proof — Note to users

Brief Report

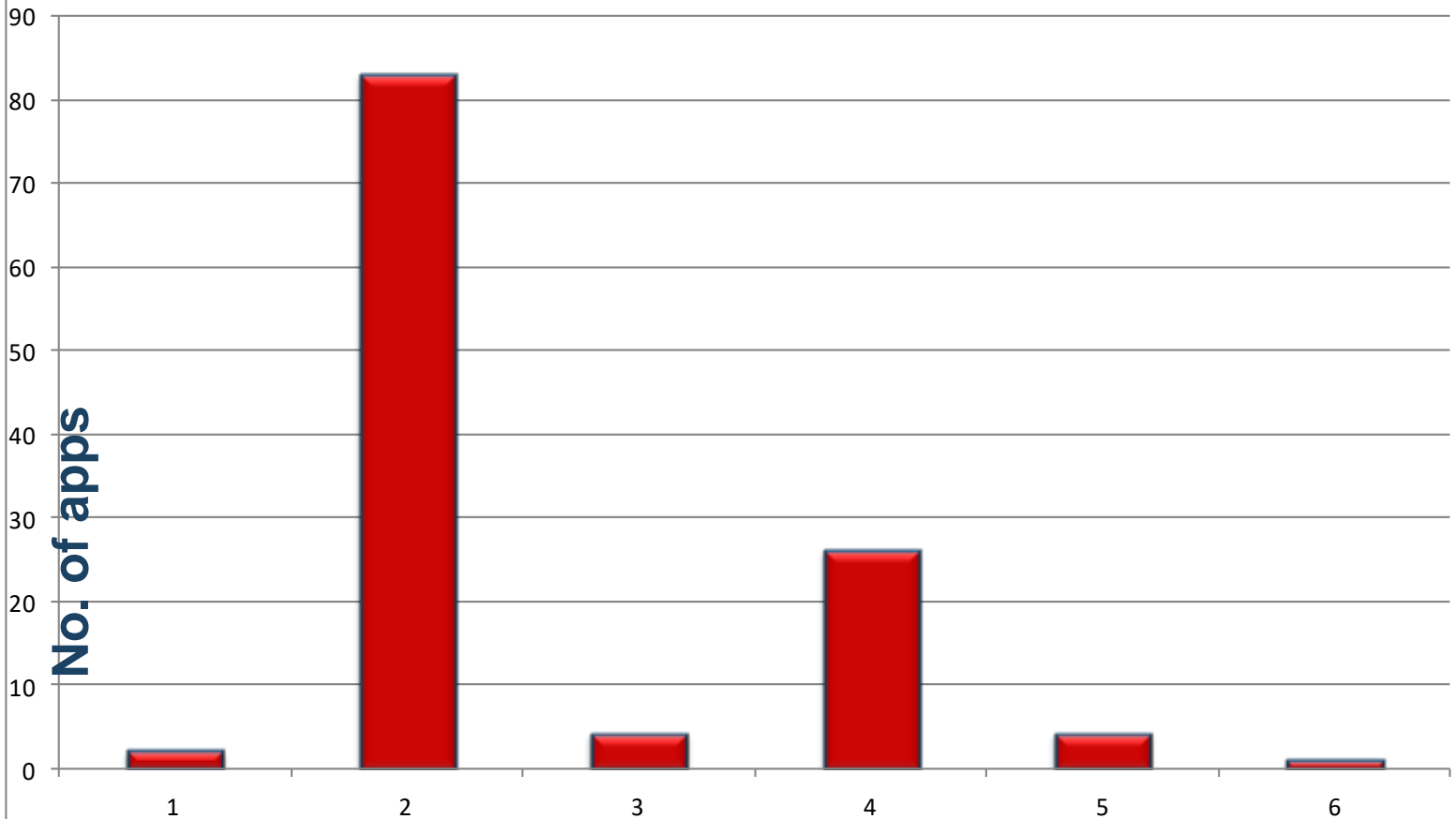
**Behavior Change Techniques in Apps for Medication Adherence: A Content Analysis**

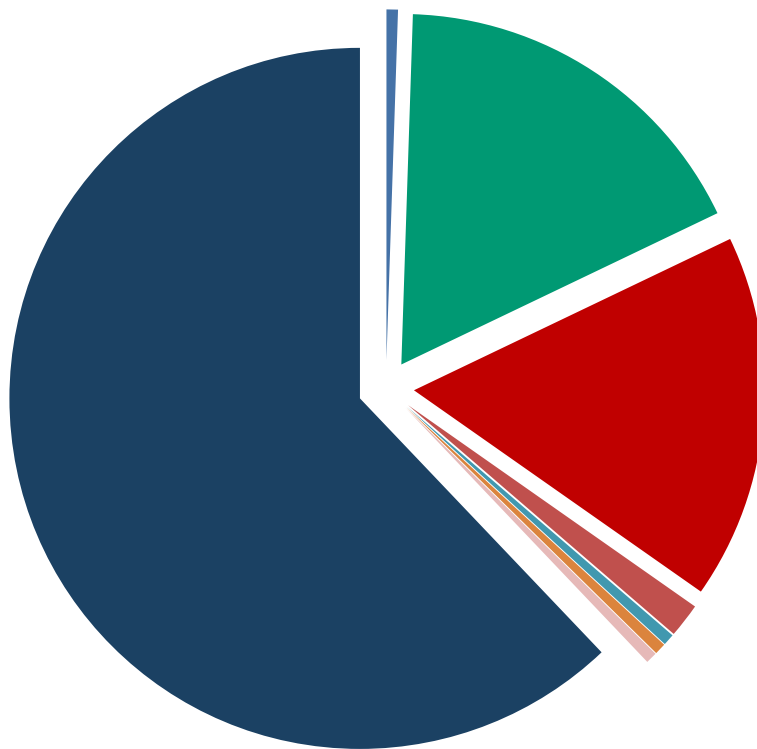
Eimear C. Morrissey, MSc  , Teresa K. Corbett, MSc, Jane C. Walsh, PhD, Gerard J. Molloy, PhD

[+ Show more](#)



## No. of BEHAVIOUR CHANGE TECHNIQUES in each app





- 1.1 Goal setting (0.53%)
- 2.2 Feedback on behaviour (17.37%)
- 2.3 Self-monitoring (16.84%)
- 3.1 Social support (unspecified) (1.58%)
- 4.2 Information about the antecedents (0.53%)

# INTERVENTIONS MUST BE EVIDENCE-BASED TO WORK



American Journal of Preventive Medicine

Available online 17 November 2015

In Press, Corrected Proof — N

Brief Report

Behavioral

Analysis

Apps for Medication

Eimh... Morrissey, MSc, Teresa K. Corbett, MSc, Jane C. Walsh, PhD, Gerard J. Molloy, PhD

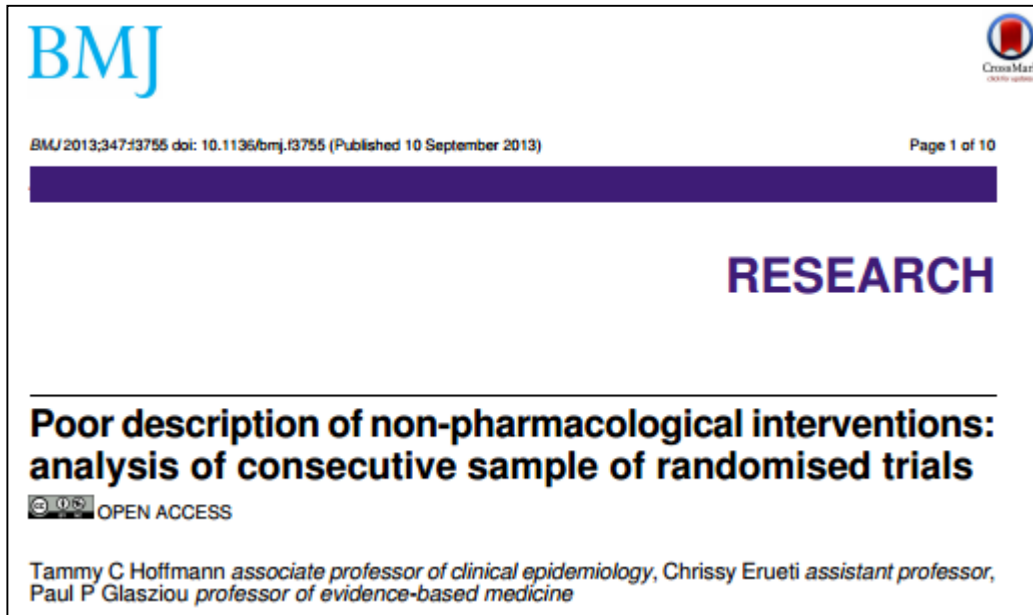
Show more

Lack of evidence base in many apps on the market

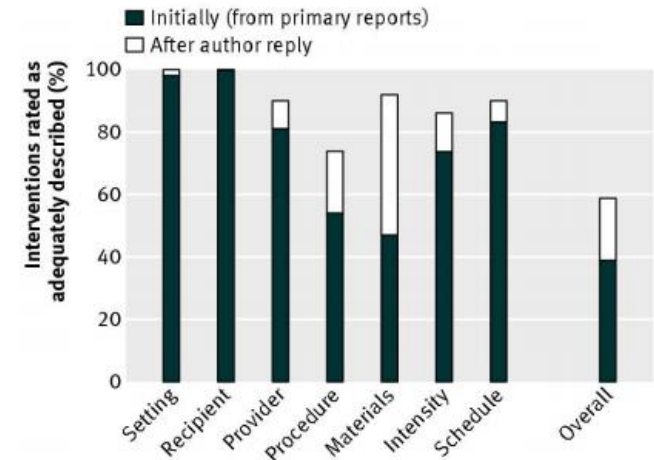


# DESCRIBING INTERVENTION

## Need for specificity



### Figures



Of 137 interventions, only 53 (39%) were adequately described



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# Need for a common language

## Biomedicine vs Behavioural Science



Varenicline  
(JAMA  
2006)

### Intervention content

- Varenicline titrated to 1 mg twice daily (n = 344) or bupropion SR titrated to 150 mg twice daily (n = 342) or placebo (n = 341) for 12 weeks

Mechanism of action

Behaviour  
counselling  
(Cochrane  
2005)

### Intervention content

- Review smoking history & motivation to quit
- Help identify high risk situations
- Generate problem-solving strategies
- Non-specific support & encouragement

Mechanism of action

Which of these would you find easier to replicate?

Which of these could you explain to someone else?



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This slide is used with permission of the UCL Centre for Behaviour Change  
[www.ucl.ac.uk/behaviour-change](http://www.ucl.ac.uk/behaviour-change)



# Summary: So what's the problem?

- Poor **definition of interventions**
  - Limited ability to develop science/theory
  - Limited ability to generalise findings
- No understanding of **mechanisms of change**
- If effective, unclear why it worked, can't replicate...
- If ineffective, not sure why...



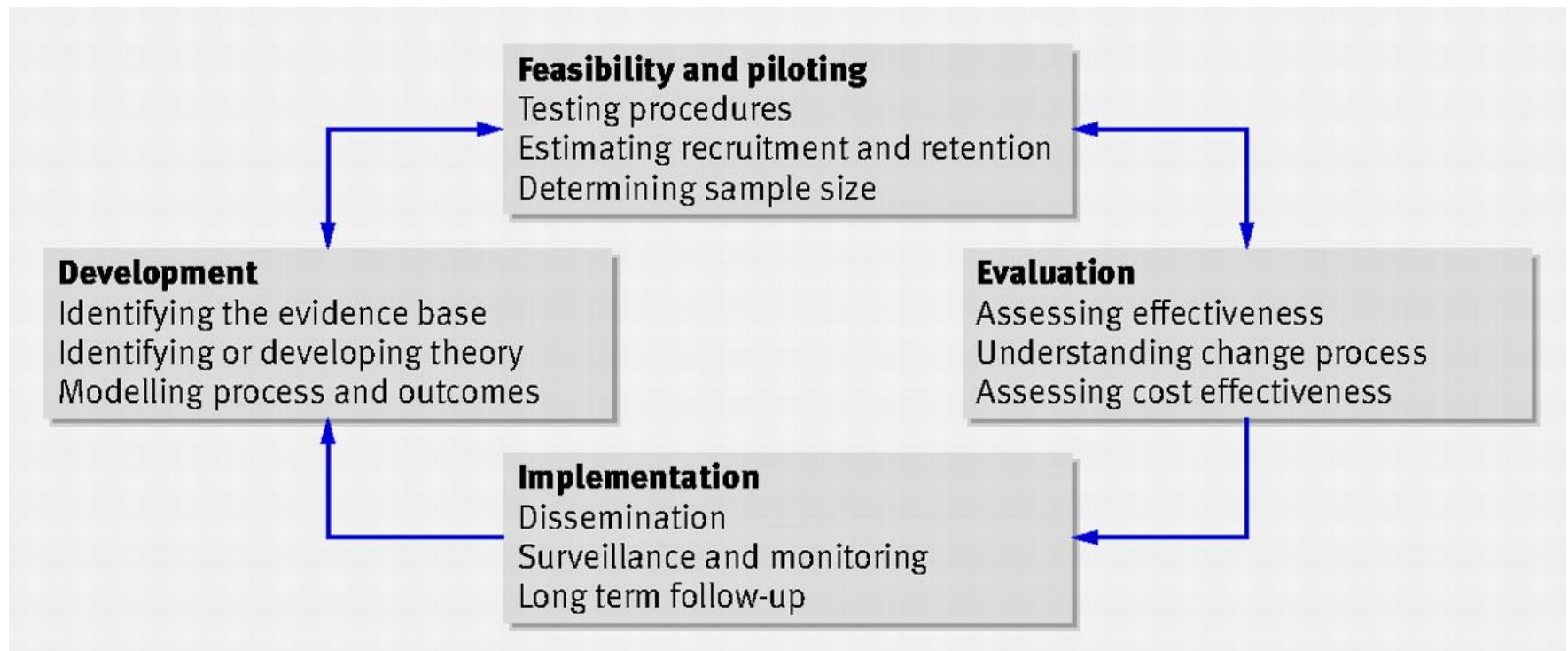
# IMPORTANCE OF SCIENTIFIC METHODS



## Developing and evaluating complex interventions: the new Medical Research Council guidance

[Peter Craig](#), programme manager,<sup>1</sup> [Paul Dieppe](#), professor,<sup>2</sup> [Sally Macintyre](#), director,<sup>3</sup> [Susan Michie](#), professor,<sup>4</sup> [Irwin Nazareth](#), director,<sup>5</sup> and [Mark Petticrew](#), professor<sup>6</sup>

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# How to improve behaviour change interventions

1. **Specify** target behaviour precisely
2. Use behavioural **theory** to develop interventions **systematically**
3. Describe **mechanisms** through which these work
4. Specify **behaviour change techniques**, linking these to theory
5. Improve **reporting**, using standardised, shared terminology
6. Facilitate **combining evidence** in systematic reviews to **inform practice**



# Behaviour Change Process

## Stage 1: Understand the behaviour

1. Define the problem in behavioural terms
2. Select target behaviour
3. Specify the target behaviour
4. Identify what needs to change

## Stage 2: Identify intervention options

- Identify:
5. Intervention functions
  6. Policy categories

## Stage 3: Identify content and implementation options

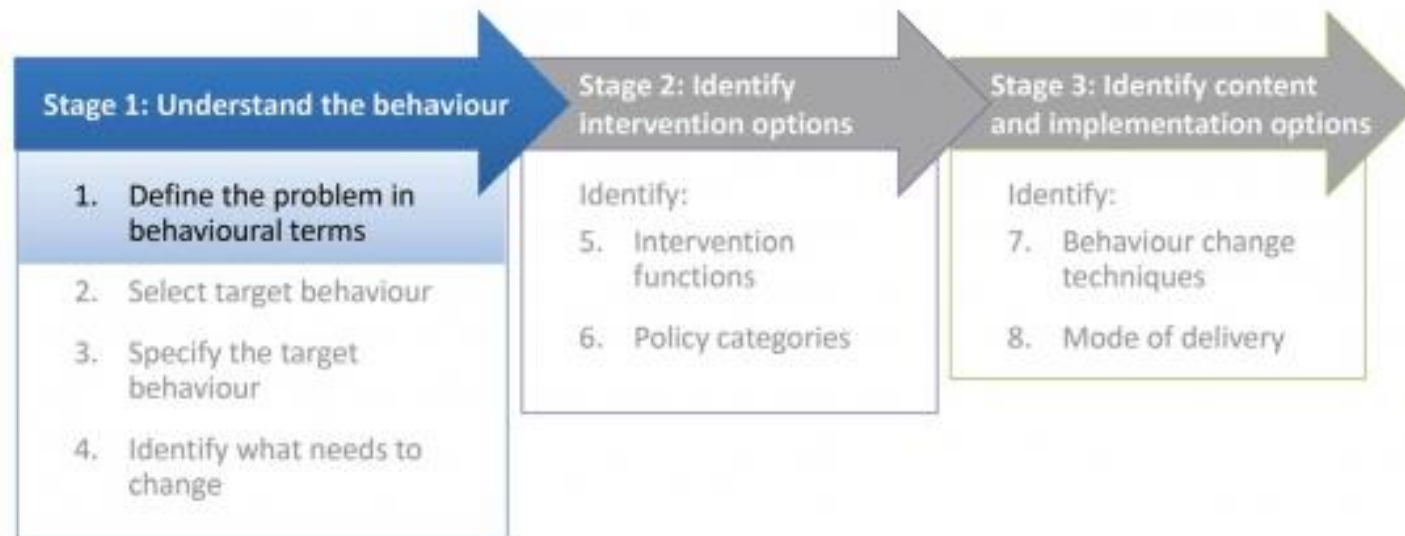
- Identify:
7. Behaviour change techniques
  8. Mode of delivery



# DEFINING BEHAVIOUR



# Step 1: Define the problem in behavioural terms



# What is Behaviour?

- Anything a person does in response to internal or external events.
- Behaviours are physical events that occur in the body and are controlled by the brain

## What is a health behaviour?

“...any activity undertaken for the purpose of preventing or detecting disease or for improving health and well-being.”

(Conner and Norman, 1996)

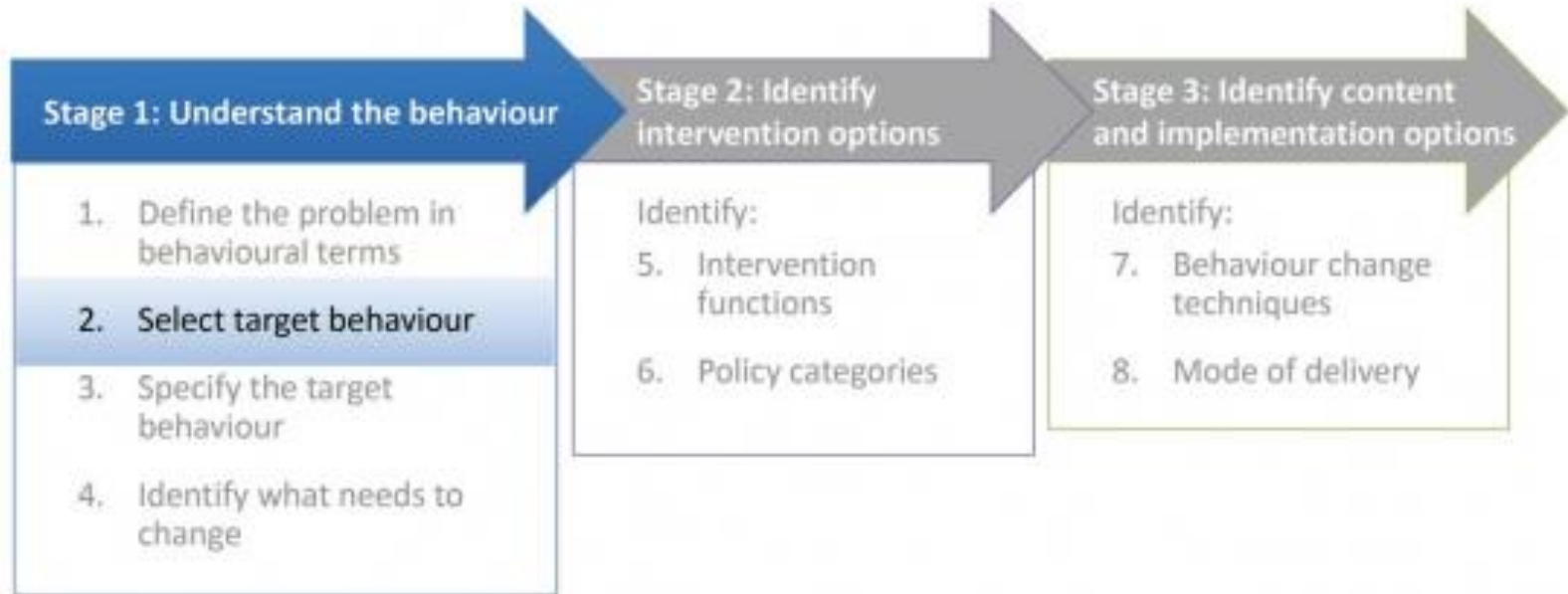


# What is Behaviour?

- 1) Walking in the park
- 2) Having the confidence to ride a bike
- 3) Taking a statin tablet
- 4) Losing weight
- 5) Intending to eat 3 pieces of fruit a day
- 6) Washing your hands
- 7) Reducing cholesterol



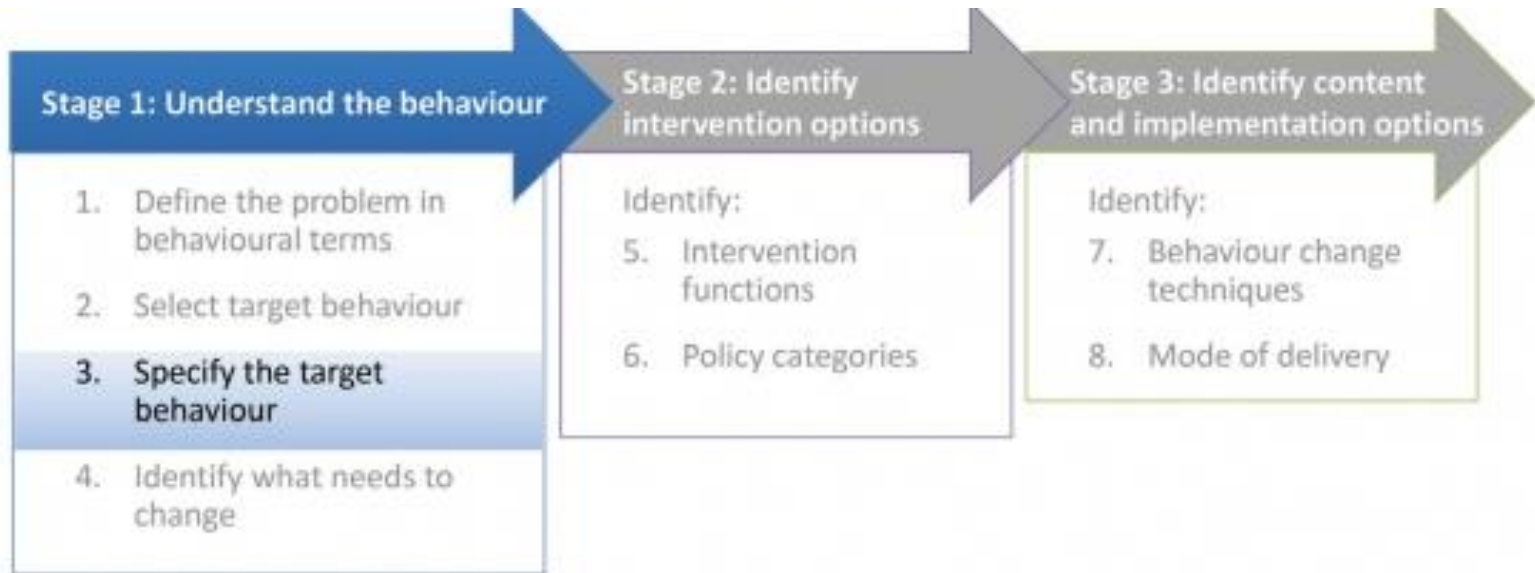
# Step 2: Select the target behaviour



- Multiple potentially relevant behaviours
- Consider:
  - Impact, Likelihood, Spillover, Ease of measurement



# Step 3: Specify the target behaviour

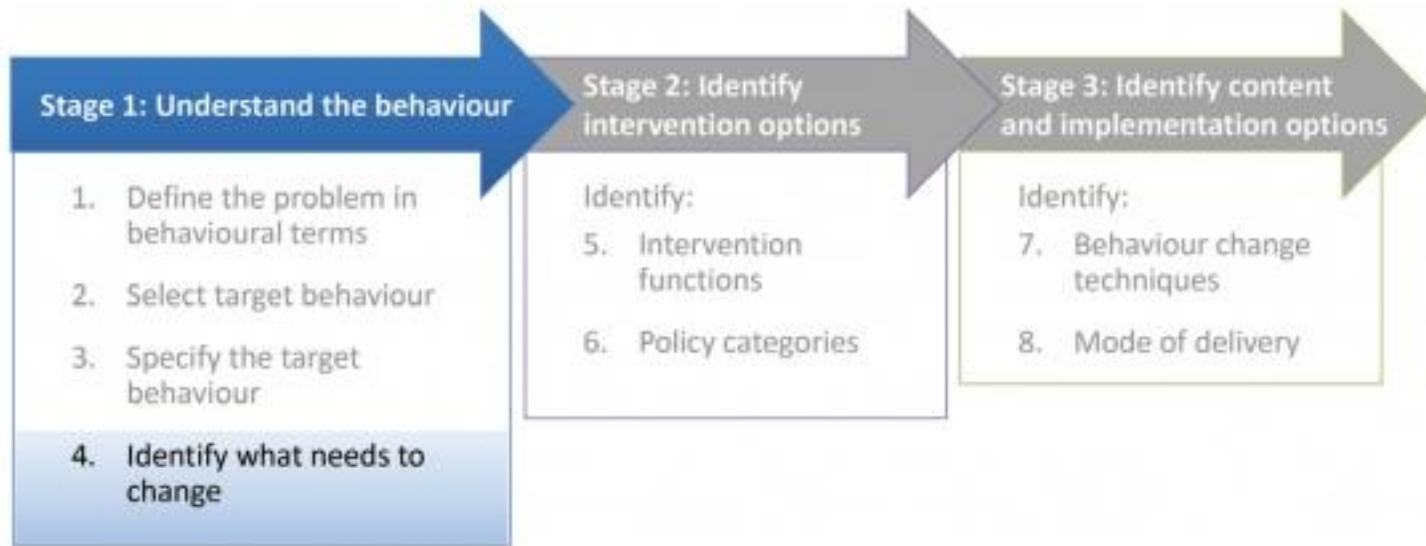


- More precise the better
- Will help in developing and evaluating intervention



# The COM-B Model

# Step 4: Identify what needs to change



## Step 4: Identify what needs to change

- **Target behaviour** identified and specified
- But why not currently being carried out?
- Need to understand behaviour change in context
  - Population
  - Environment
- Need to understand **predictors** of the behaviour





# Theories of Behaviour Change

Research Highly accessed Open Access

## The behaviour change wheel: A new method for characterising and designing behaviour change interventions

Susan Michie<sup>1\*</sup>, Maartje M van Stralen<sup>2</sup> and Robert West<sup>2</sup>

\* Corresponding author: Susan Michie [s.michie@ucl.ac.uk](mailto:s.michie@ucl.ac.uk) ▶ Author Affiliations

For all author emails, please [log on](#).

*Implementation Science* 2011, **6**:42 doi:10.1186/1748-5908-6-42

Published: 23 April 2011

### Abstract

#### Background

Improving the design and implementation of evidence-based practice depends on successful behaviour change interventions. This requires an appropriate method for characterising interventions and linking them to an analysis of the targeted behaviour. There exists a plethora of frameworks of behaviour change interventions, but it is not clear how well they serve this purpose. This paper evaluates these frameworks, and develops and evaluates a new framework aimed at overcoming their limitations.

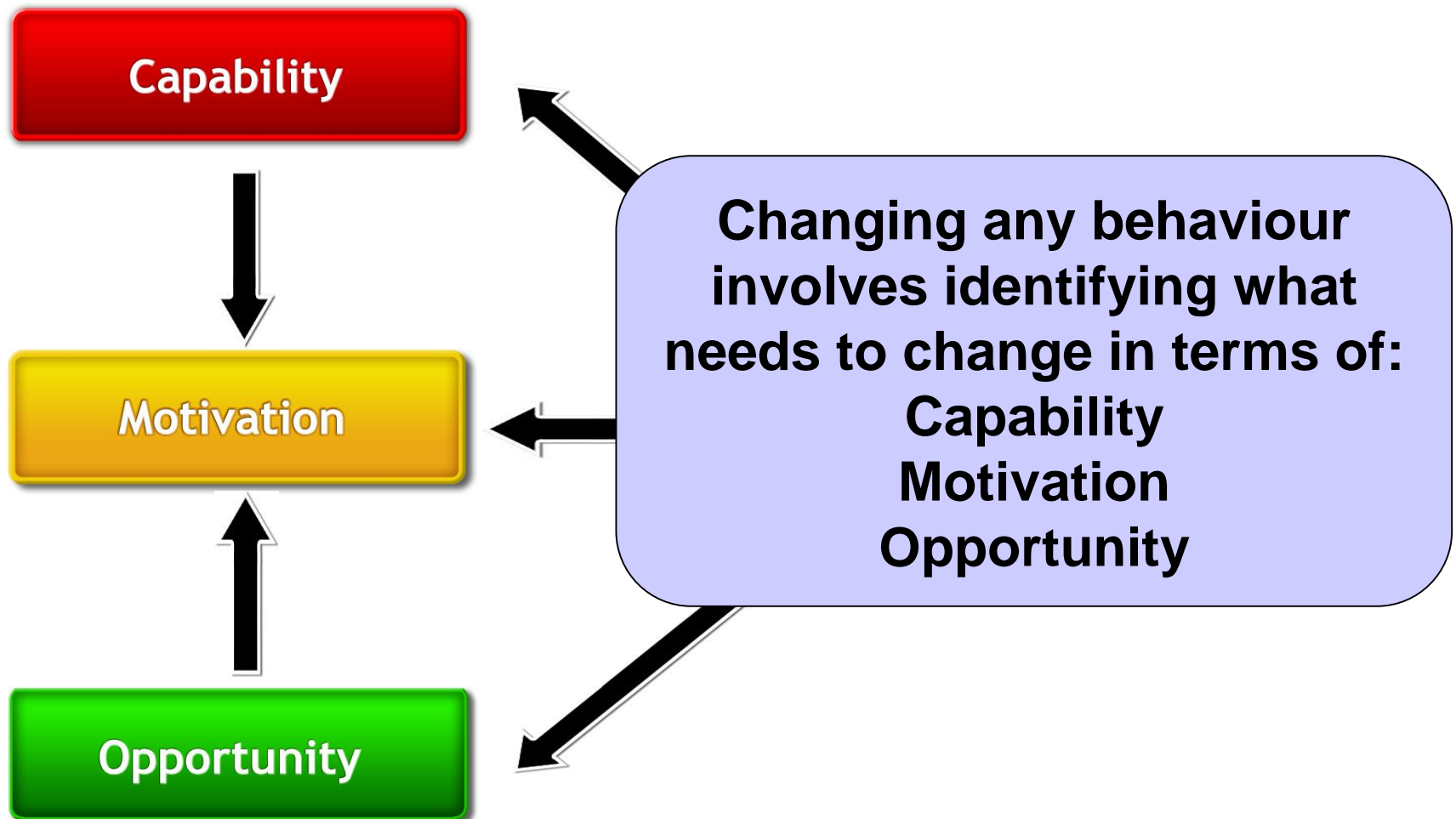
Comprehensive

Coherent

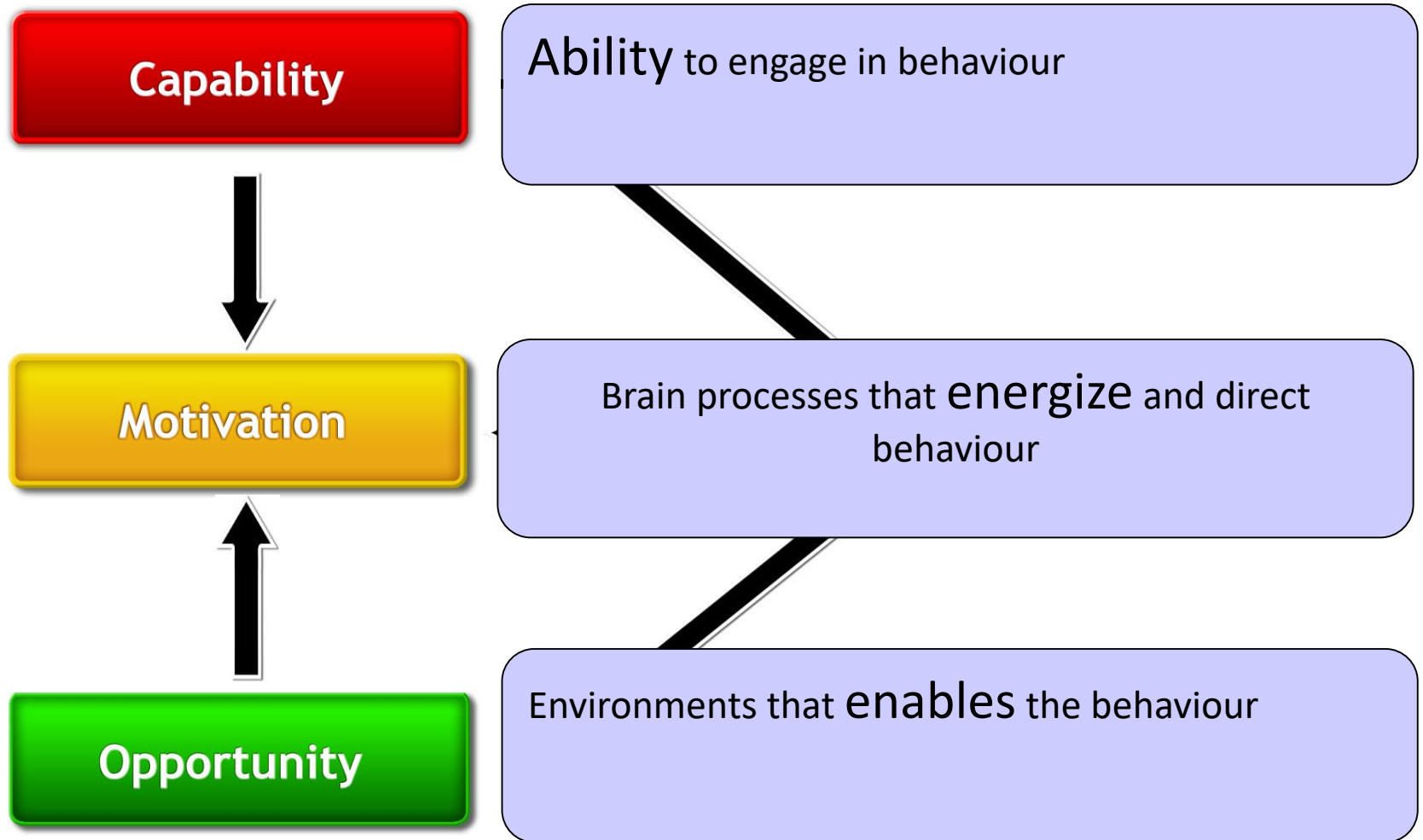
Minimum number of factors



# The Capability Opportunity Motivation – Behaviour (COM-B) Model

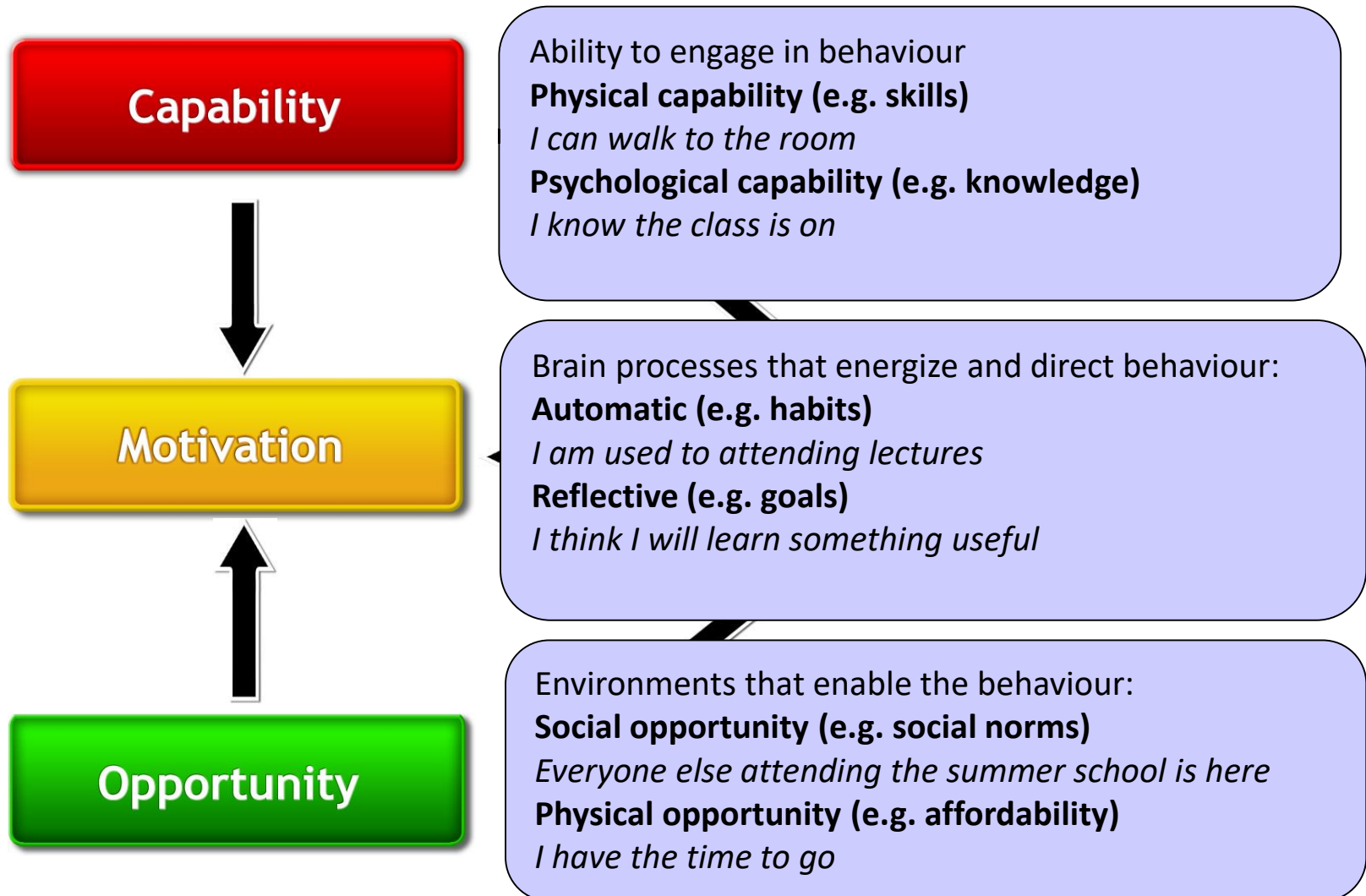


# The COM-B Model




# The COM-B Model:

## Behaviour: Attending this talk



Use the COM-B Model to identify what needs to change

**EXAMPLE:**  
**Non-adherence to medication**

A black pen is positioned diagonally across a white notepad. The notepad is placed on a wooden surface with a visible grain. The lighting is soft, creating a slight shadow of the pen on the paper.

## Applying COM-B to medication adherence

A suggested framework for research and interventions

Table 1. Applying COM-B to factors associated with adherence

CAPABILITY	MOTIVATION	OPPORTUNITY
<i>The individual's physical and psychological capacity to engage in the behaviour*</i>	<i>All brain processes that energise and direct behaviour</i>	<i>All factors lying outside the individual that make performance of the behaviour possible or prompt it</i>
<p><b>Psychological</b></p> <p><i>Capacity to engage in necessary thought processes</i></p>	<p><b>Reflective</b></p> <p><i>Evaluations and plans</i></p>	<p><b>Physical</b></p> <p><i>Physical opportunity provided by the environment</i></p>
<ul style="list-style-type: none"> <li>•Comprehension of disease and treatment</li> <li>•Cognitive functioning (e.g. memory, capacity for judgement, thinking)</li> <li>•Executive function (e.g. capacity to plan)</li> </ul>	<ul style="list-style-type: none"> <li>•Perception of illness (e.g. cause, chronic vs. acute etc.)</li> <li>•Beliefs about treatment (e.g. necessity, efficacy, concerns about current or future adverse events, general aversion to taking medicines)</li> <li>•Outcome expectancies</li> <li>•Self-efficacy</li> </ul>	<ul style="list-style-type: none"> <li>•Cost</li> <li>•Access (e.g. availability of medication)</li> <li>•Packaging</li> <li>•Physical characteristics of medicine (e.g. taste, smell, size, shape, route of administration)</li> <li>•Regimen complexity</li> <li>•Social support</li> <li>•HCP-patient relationship / communication</li> </ul>
<p><b>Physical</b></p> <p><i>Capacity to engage in necessary physical processes</i></p>	<p><b>Automatic</b></p> <p><i>Emotions and impulses arising from associative learning and/or innate dispositions</i></p>	<p><b>Social</b></p> <p><i>Cultural milieu that dictates the way we think about things</i></p>
<ul style="list-style-type: none"> <li>•Physical capability to adapt to lifestyle changes (e.g. diet or social behaviours)</li> <li>•Dexterity</li> </ul>	<ul style="list-style-type: none"> <li>•Stimuli or cues for action</li> <li>•Mood state/disorder (e.g. depression and anxiety)</li> </ul>	<ul style="list-style-type: none"> <li>•Stigma of disease, fear of disclosure</li> <li>•Religious/cultural beliefs</li> </ul>

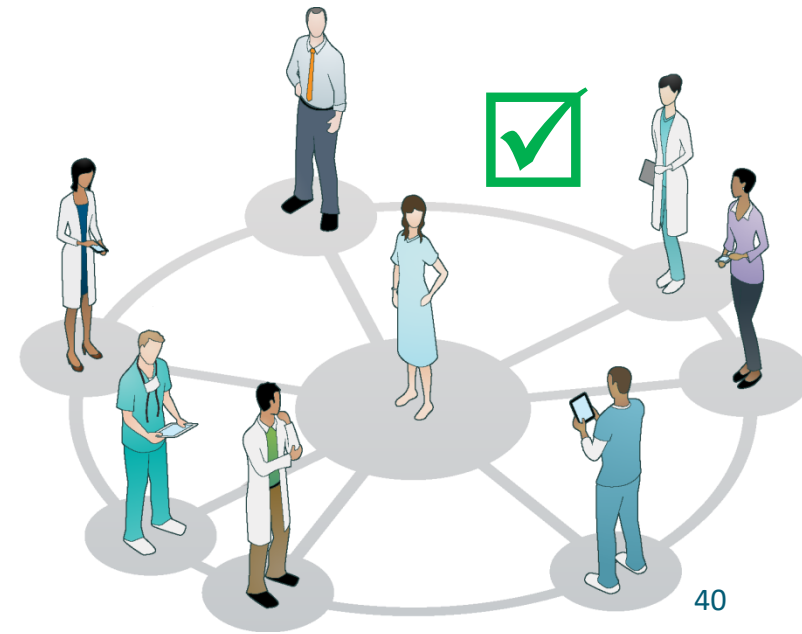
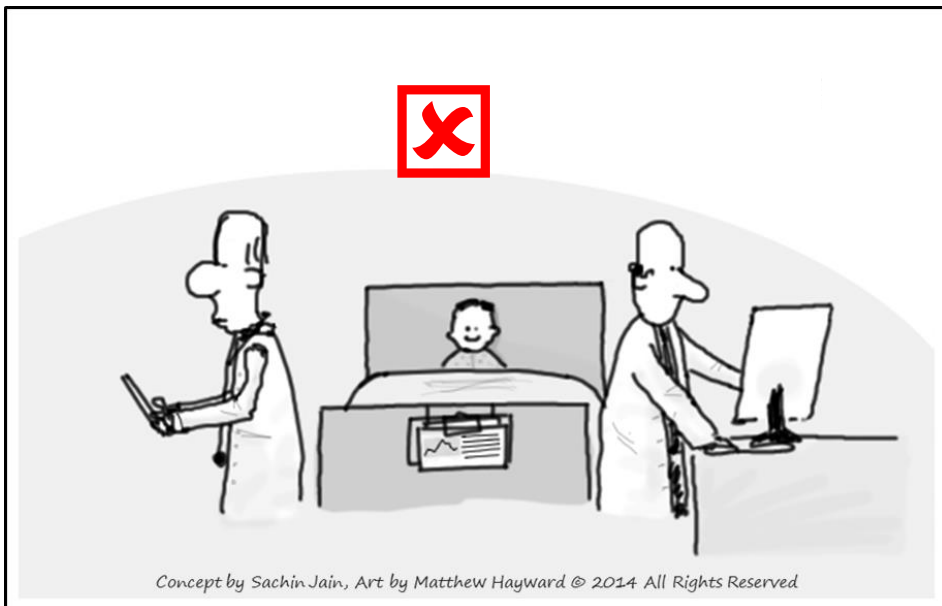
\*statements in italics represent definitions given by Michie et al. (2011)

## Target behaviour: Taking medication as prescribed by doctor

COM-B Component	Possible examples for target behaviour
<b>Capability:</b> Physical	Inability to open pill box
<b>Capability:</b> Psychological	Not sure of pill purpose and dosage
<b>Opportunity:</b> Physical	Cost of medications
<b>Opportunity:</b> Social	Religious beliefs, taking medication during Ramadan
<b>Motivation:</b> Reflective	"I'm on so many medications I rattle"
<b>Motivation:</b> Automatic	Changed routines

It's important to develop apps using a **'person-centred approach'** (Yardley et al., 2015)

In-depth qualitative research is conducted with the users before the digital intervention is developed. This data is used to develop **"guiding principles"** that state the key intervention design objectives





Glynn et al. BMC Family Practice (2015) 16:119  
DOI 10.1186/s12875-015-0332-7

 BMC  
Family Practice

BMC Medicine 2015

RESEARCH ARTICLE

Open Access



# Patients' views and experiences of technology based self-management tools for the treatment of hypertension in the community: A qualitative study

Liam Glynn<sup>1\*</sup>, Monica Casey<sup>1</sup>, Jane Walsh<sup>2</sup>, Patrick S. Hayes<sup>3</sup>, Richard P. Harte<sup>4</sup> and David Heaney<sup>5</sup>

## Abstract

**Background:** Patients with hypertension in the community frequently fail to meet treatment goals. The optimal way to organize and deliver care to hypertensive patients has not been clearly identified. The powerful on-board computing capacity of mobile devices, along with the unique relationship individuals have with newer technologies, suggests that they have the potential to influence behaviour. However, little is known regarding the views and experiences of patients using such technology to self-manage their hypertension and associated lifestyle behaviours. The aim of this study was to explore patients' views and experiences of using technology based self-management tools for the treatment of hypertension in the community.

# Results

Four key inter-related themes emerged from the analysis :

Personalisation

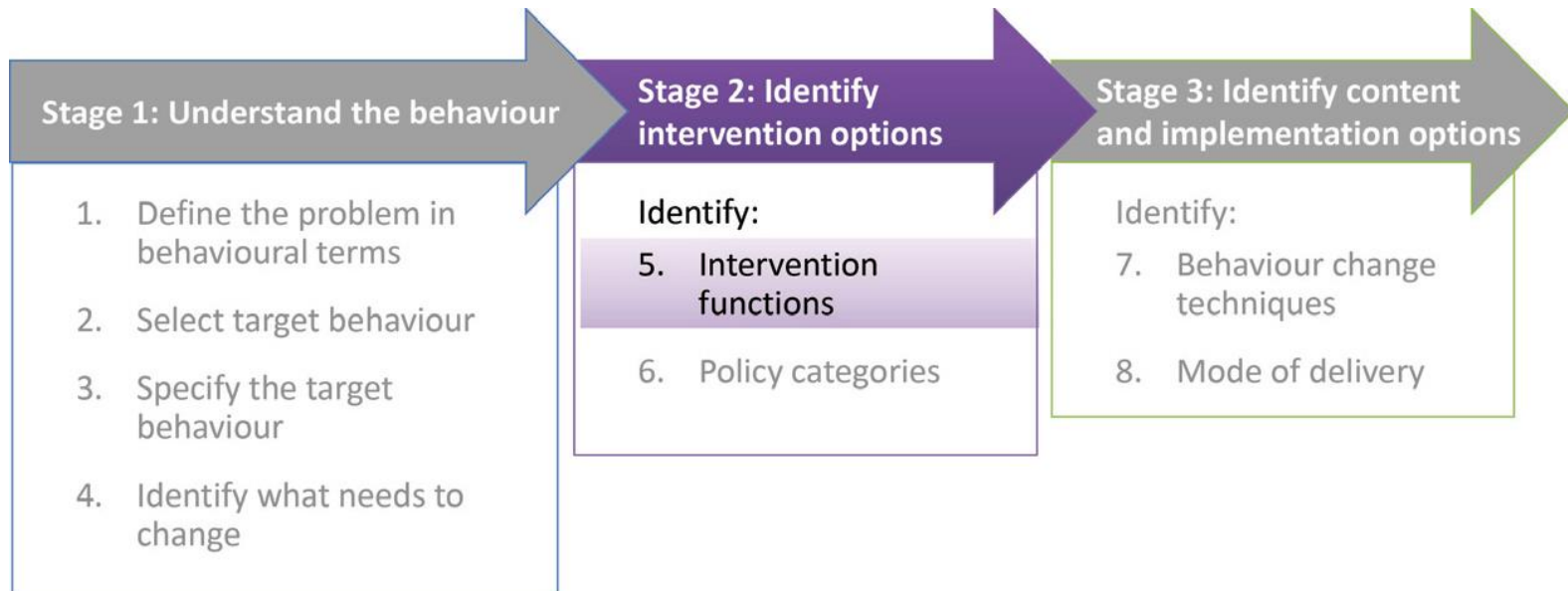
Trust

Motivation

Communication

# The Behaviour Change Wheel

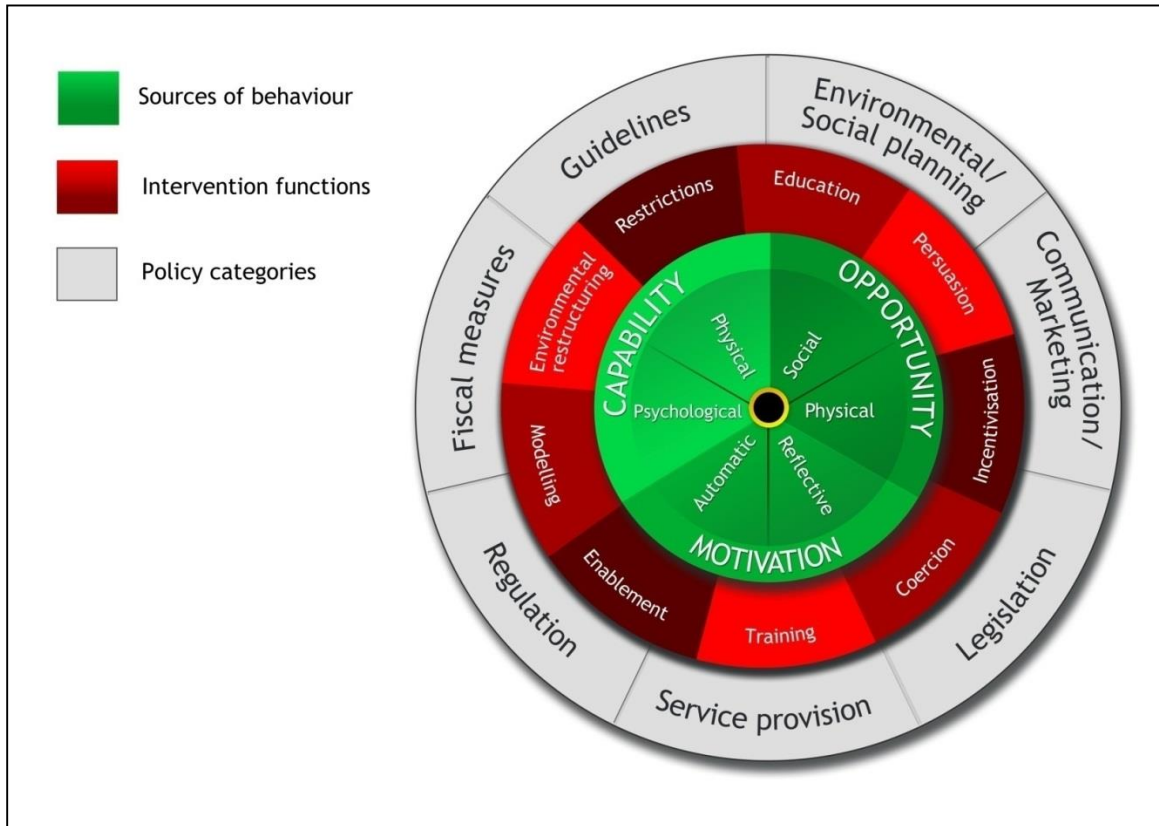
# Step 5: Identify intervention Functions



- The Behaviour Change Wheel: a procedure for intervention development
- Allows the selection of selecting intervention functions and policy options



# The Behaviour Change Wheel



Research Highly accessed Open Access

**The behaviour change wheel: A new method for characterising and designing behaviour change interventions**

Susan Michie<sup>1\*</sup>, Maartje M van Stralen<sup>2</sup> and Robert West<sup>2</sup>

\* Corresponding author: Susan Michie [s.michie@ucl.ac.uk](mailto:s.michie@ucl.ac.uk) ▶ Author Affiliations

For all author emails, please [log on](#).

Implementation Science 2011, 6:42 doi:10.1186/1748-5908-6-42  
Published: 23 April 2011

**Abstract**

**Background**

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Systematic Review:  
19 frameworks  
Combined into the BCW

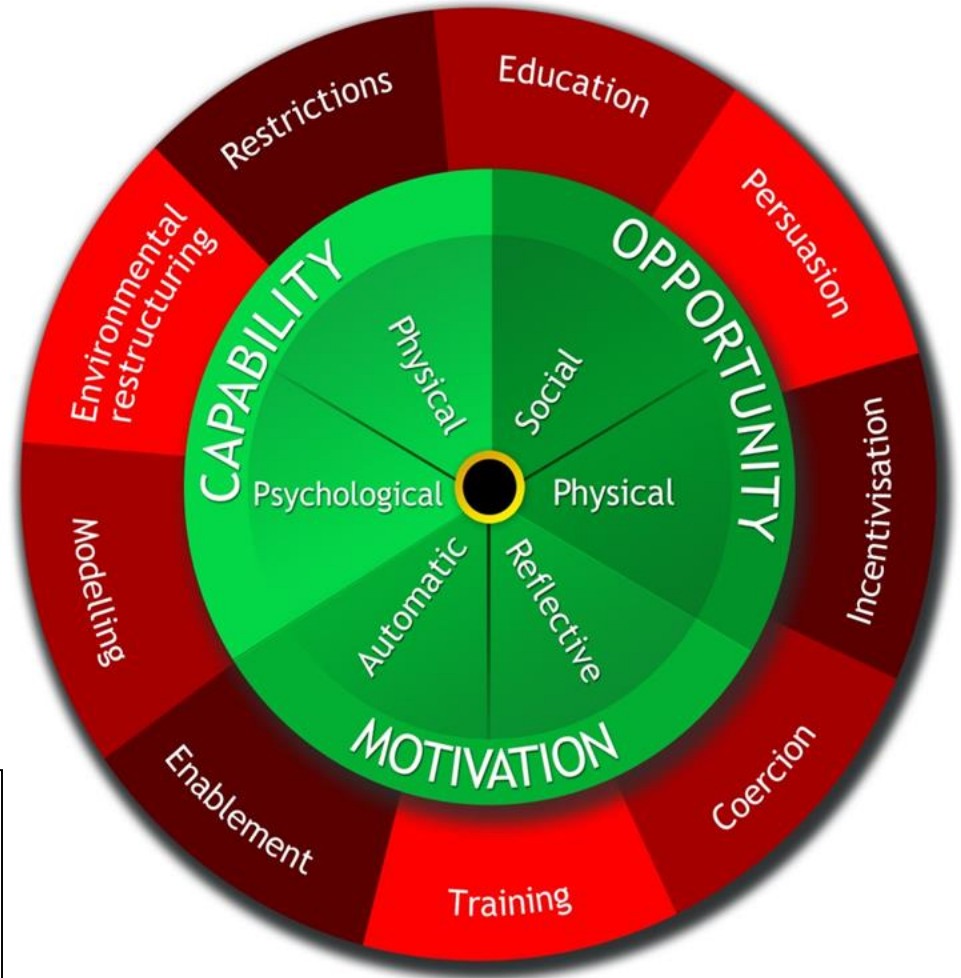




Sources of behaviour



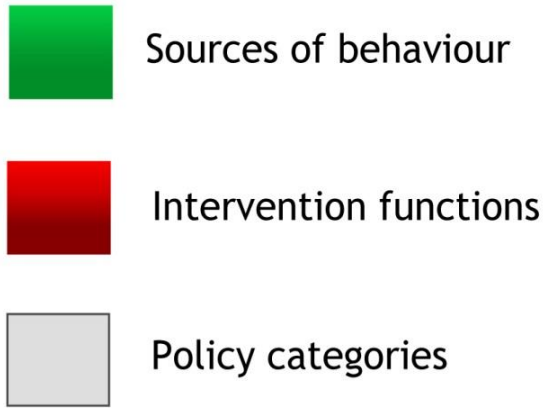
Intervention functions



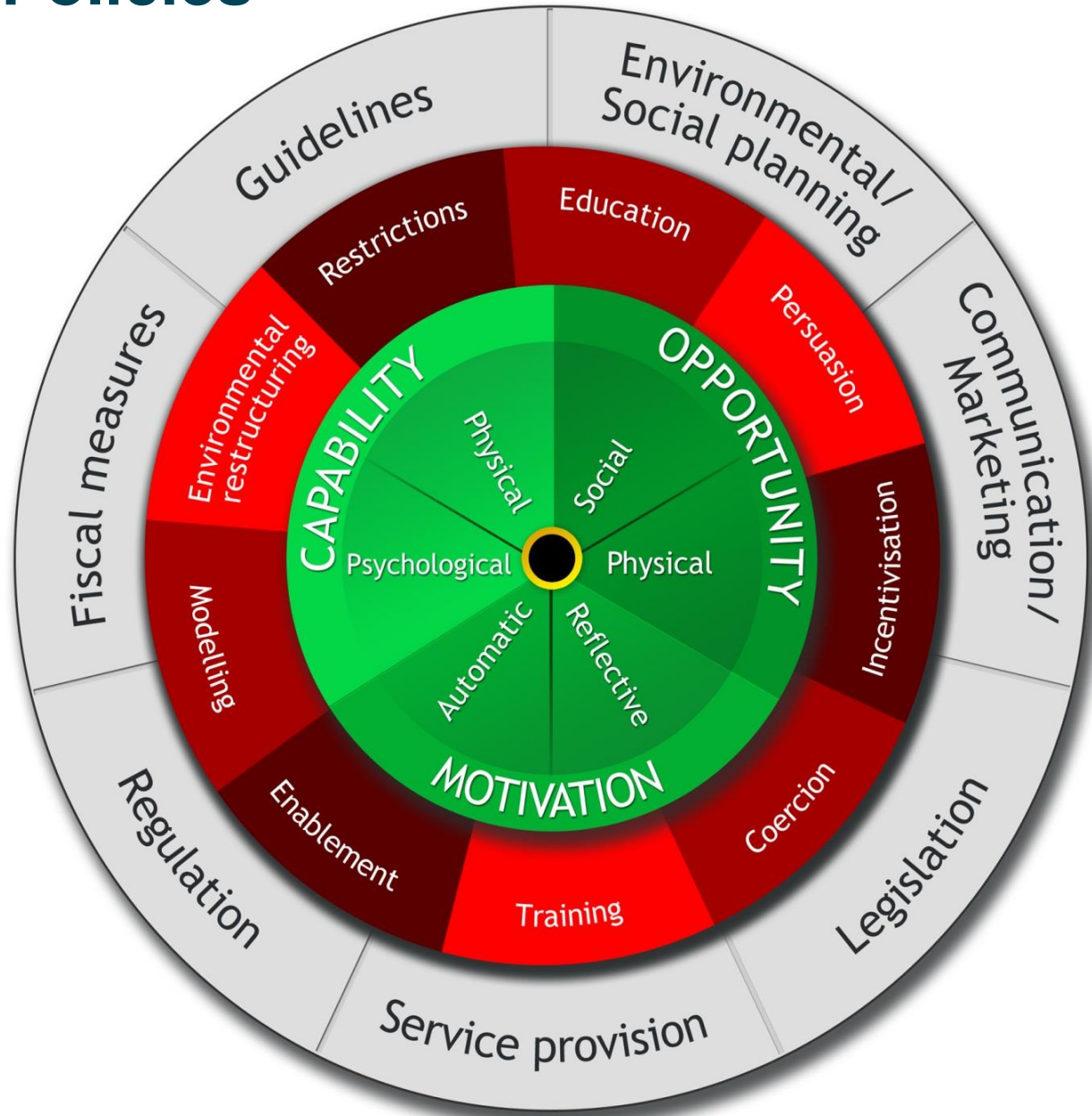
**9 Intervention functions:  
Broad categories through  
which an intervention can  
change behaviour**

<b>Intervention function</b>	<b>Definition</b>
<b>Education</b>	Increasing knowledge or understanding
<b>Persuasion</b>	Using communication to induce a positive or negative feelings or stimulate action
<b>Incentivisation</b>	Create expectation of reward
<b>Coercion</b>	Create expectation of punishment or cost
<b>Training</b>	Imparting skills
<b>Restriction</b>	Using rules that limit engagement in the target behaviour or competing or supporting behaviour
<b>Environmental restructuring</b>	Changing the physical or social context
<b>Modelling</b>	Provide an example for people to aspire to or imitate
<b>Enablement</b>	Increasing means/reducing barriers

# Policies



**7 policy categories:  
Support the delivery  
of intervention  
functions**



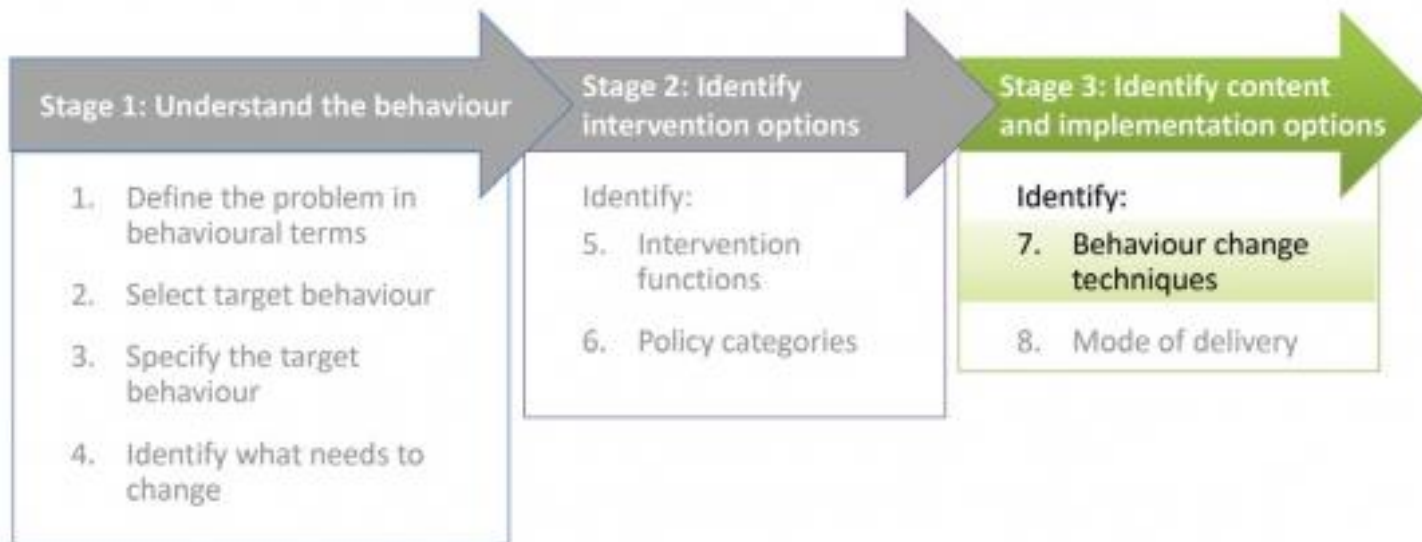


## Step 6: Identify Policy Categories

Policy category	Definition
<b>Communication/ Marketing</b>	Using print, electronic, telephonic or broadcast media
<b>Guidelines</b>	Creating documents that recommend or mandate practice. This includes all changes to service provision
<b>Fiscal</b>	Using the tax system to reduce or increase the cost
<b>Regulation</b>	Establishing rules or principles of behaviour or practice
<b>Legislation</b>	Making or changing laws
<b>Environmental/ social planning</b>	Designing and/or controlling the physical or social environment
<b>Service provision</b>	Delivering a service

# The BCT Taxonomy

# Step 7: Identify Behaviour Change Techniques



# BCT Taxonomy (2013)

ann. behav. med. (2013) 46:81–95

DOI 10.1007/s12160-013-9486-6

ORIGINAL ARTICLE

## **The Behavior Change Technique Taxonomy (v1) of 93 Hierarchically Clustered Techniques: Building an International Consensus for the Reporting of Behavior Change Interventions**

Consensus study  
with experts

Susan Michie, DPhil, CPsychol • Michelle Richardson, PhD • Marie Johnston, PhD,  
CPsychol • Charles Abraham, DPhil, CPsychol • Jill Francis, PhD, CPsychol •  
Wendy Hardeman, PhD • Martin P. Eccles, MD • James Cane, PhD •  
Caroline E. Wood, PhD

Published online: 20 March 2013

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## Descriptions of “behavioural counselling” in two interventions

Title of journal article	Description of “behavioural counseling”
The impact of <b>behavioral counseling</b> on stage of change fat intake, physical activity, and cigarette smoking in adults at increased risk of coronary heart disease	“ <b>educating</b> patients about the benefits of lifestyle change, encouraging them, suggesting what changes could be made” (Steptoe et al. <i>AJPH</i> 2001)
Effects of internet <b>behavioral counseling</b> on weight loss in adults at risk for Type 2 diabetes	“ <b>feedback</b> on <b>self-monitoring</b> record, <b>reinforcement</b> , recommendations for change, answers to questions, and general support” (Tate et al. <i>JAMA</i> 2003)



# An approach to developing behaviour change interventions

Target behaviour

Design intervention

Deliver intervention

Select  
*(which?)*

Specify  
*(precisely  
what?)*

Understand  
*(why?)*

Intervention  
functions

BCTs

Mode of  
delivery

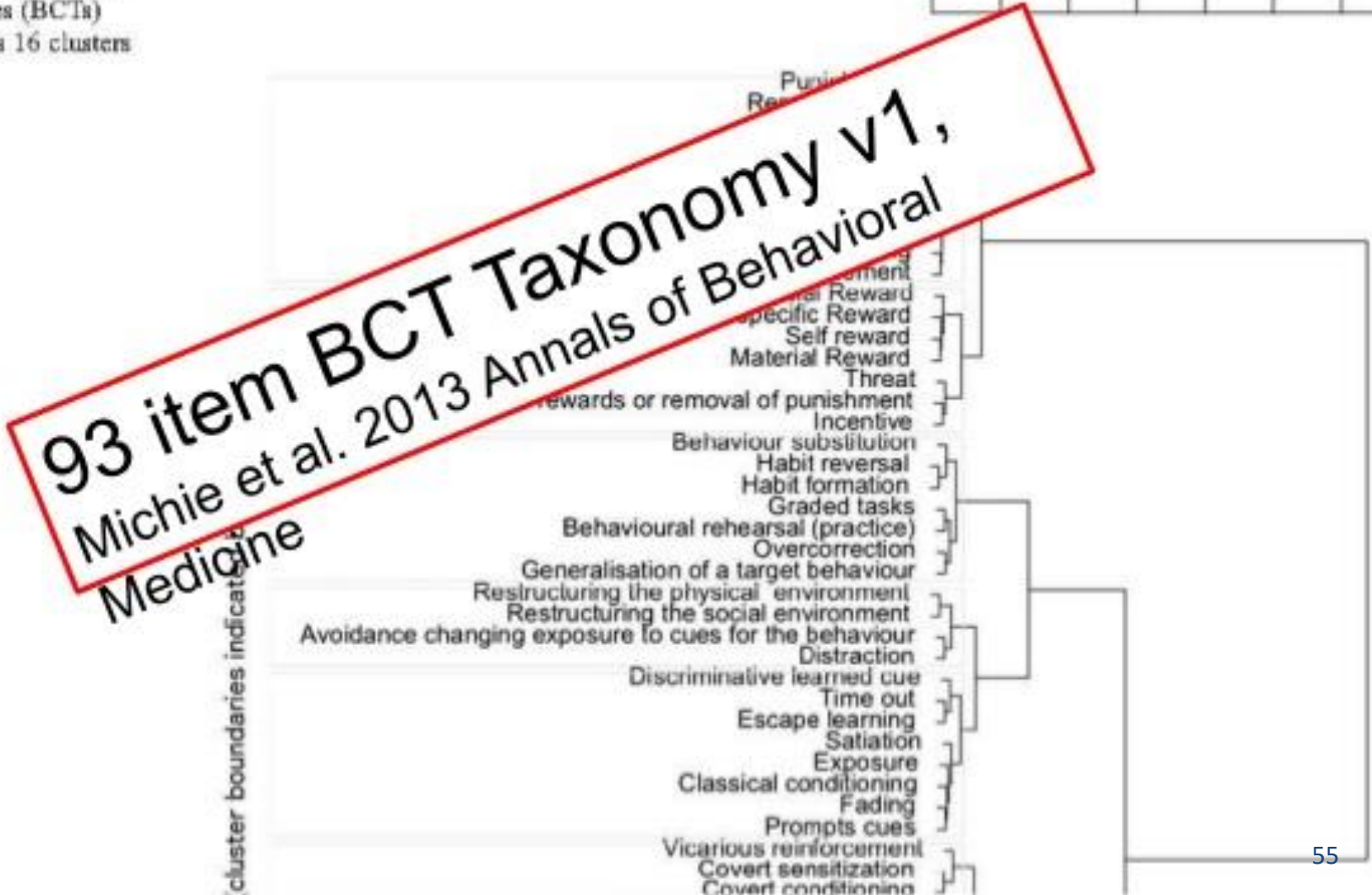
Policy  
categories

Use **Behaviour Change Wheel** to select broad categories of intervention type

Use **Taxonomy of Behaviour Change Techniques** to select active ingredients aimed at bringing about behaviour change

# Behaviour-change techniques taxonomy

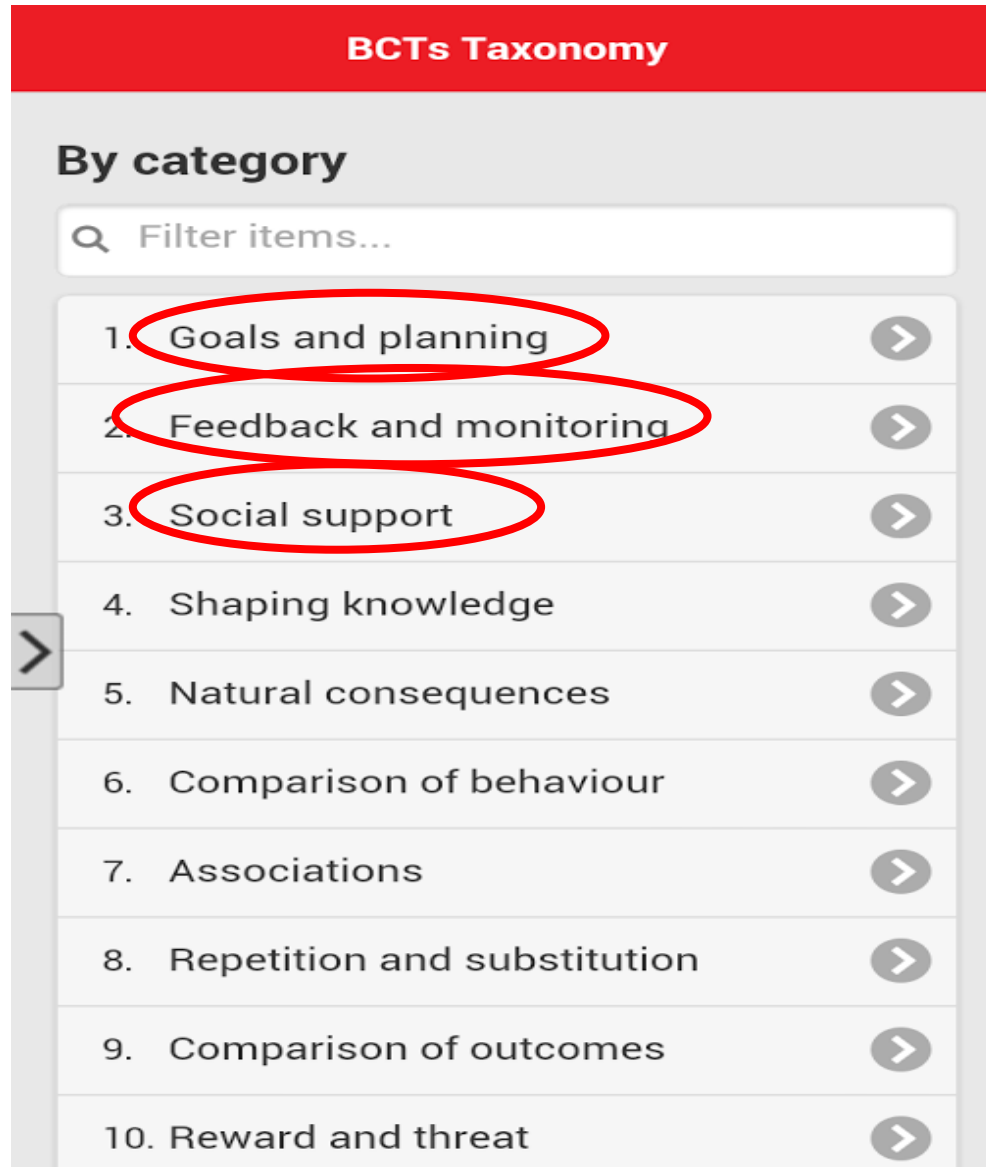
Fig. 1 Results of hierarchical cluster analysis (step 6): dendrogram for 85 behavior change techniques (BCTs) partitioned across 16 clusters



No.	Label	Definition	Examples
<b>1. Goals and planning</b>			
1.1	<b>Goal setting (behaviour)</b>	<p>Set or agree a goal defined in terms of the behaviour to be achieved</p> <p><i>Note: only code<sup>d</sup> goal-setting if there is sufficient evidence that goal set as part of intervention; if goal unspecified or a behavioural outcome, code <b>1.3, Goal setting (outcome)</b>; if the goal defines a specific context, frequency, duration or intensity for the behaviour, also code <b>1.4, Action planning</b></i></p>	<p>Agree a daily walking goal (e.g. 3 miles) with the person and reach agreement about the goal</p> <p>Set the goal of eating 5 pieces of fruit per day as specified in public health guidelines</p>



## Behaviour change techniques - App – (Michie et al, 2013)

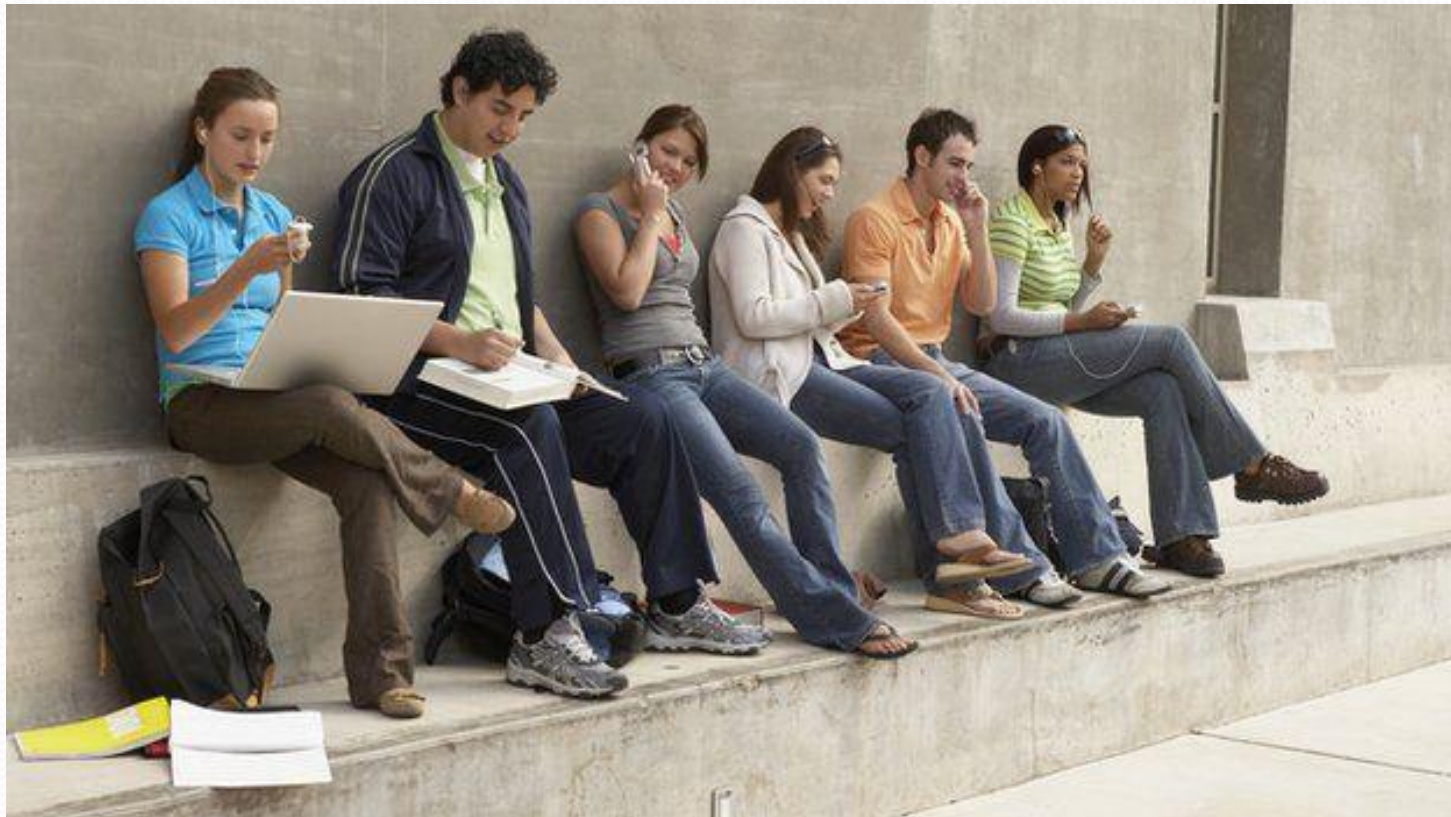


## EXAMPLE OF BEHAVIOUR CHANGE INTERVENTION USING BCT



Using a smartphone app to increase walking  
behaviour in students

Only **13–32%** of university students meet guidelines



# Accupedo app



# Step count group



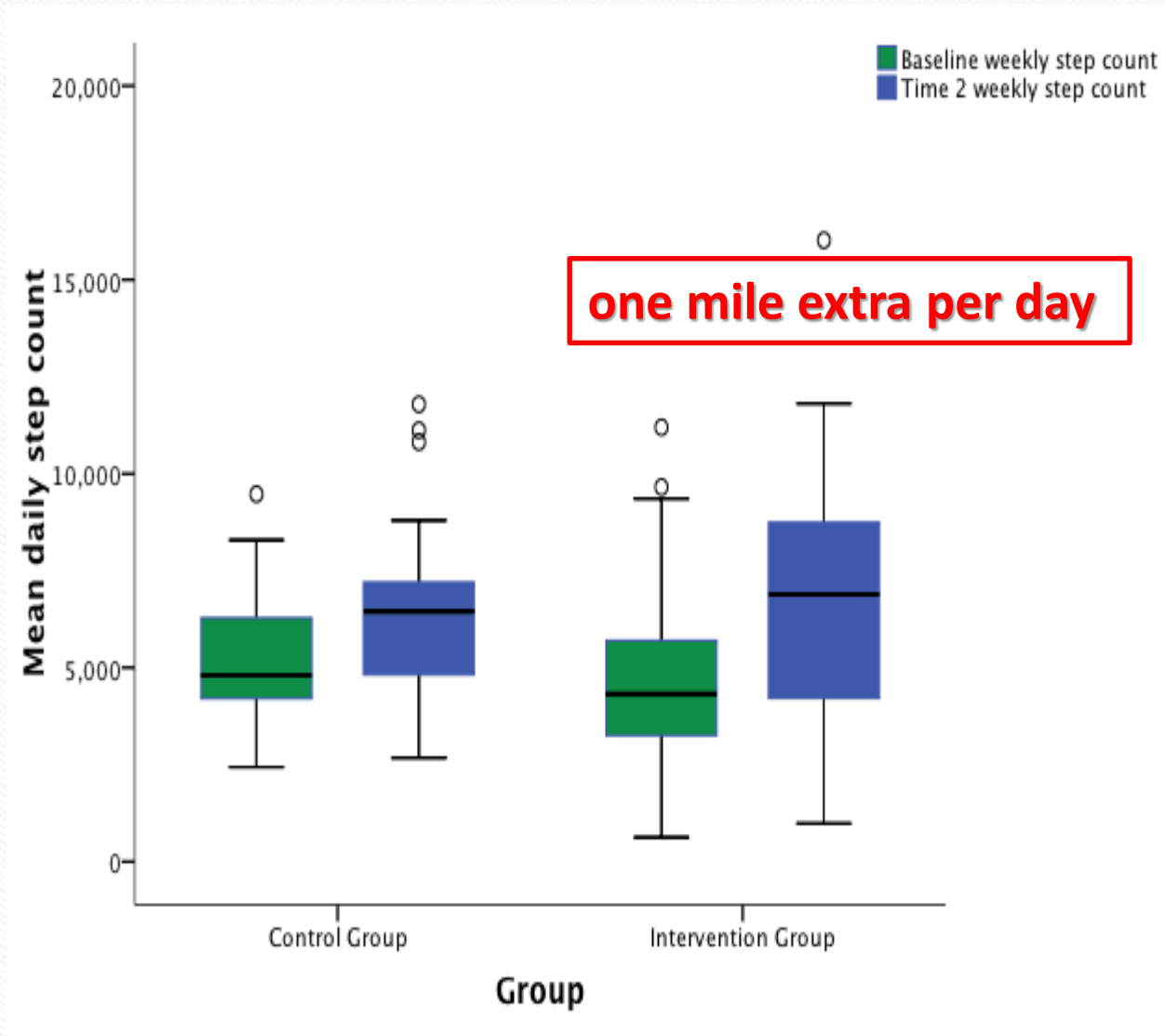
# Control group



**Table 1. Linking intervention functions, COM-B model, TDF and BCTs to promote the use of a pedometer smartphone application.**

<i>COM-B</i>	<i>Barrier</i>	<i>TDF</i>	<i>Intervention function</i>	<i>BCTs from BCT Taxonomy v1</i>
<b>Psychological capability of students</b>	Lack of awareness/information about app functions and ability to use app effectively	Procedural knowledge  Skills	Enablement Education Training	6.1 Demonstration of behavior  4.1 <b>Instruction</b> on how to perform a behaviour  2.1 <b>Monitoring</b> of behaviour by others without feedback  2.4 <b>Self-monitoring</b> of outcome(s) of behaviour,
<b>Reflective Motivation</b>	Lack of awareness about	Goal Setting	Education Training	1.3 <b>Goal setting</b> (outcome)

# USING APP STEP COUNT GOALS WORKED!



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JMIR MHEALTH AND UHEALTH

Walsh et al

Original Paper

# An mHealth Intervention Using a Smartphone App to Increase Walking Behavior in Young Adults: A Pilot Study

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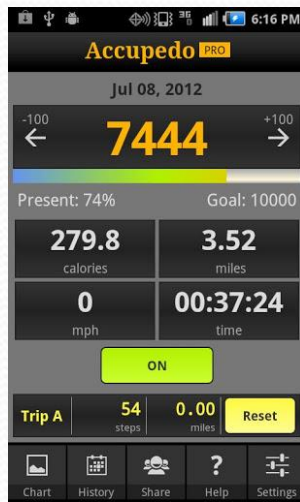
Jane C Walsh, PhD





# PATIENTS WITH CHRONIC DISEASE

- Glynn et al (2014) found this app used in a primary care setting increased Physical Activity, decreased weight & BP compared to controls.



## WHY/HOW DID IT WORK?

- Qualitative work suggested that using app facilitated an **interactive process** of positive change in patients' exercise behaviour through increase in:
  - Knowledge
  - goal setting
  - use of feedback

(Glynn et al., 2015)

**So what's the Solution?**

- ***Understand/define the problem***
  - ***Medical, behavioural, impact***
- ***State-of-the-art scientific evidence***
  - ***Content, Methods, New technology***
- ***Partnership with Industry***
  - ***Bring **evidence-based** solutions to market faster***



## Personalised solutions are key STAKEHOLDER INVOLVEMENT ('Person-based' approach)



## Quality multidisciplinary research is key...

**Technologist** meets **Psychologist** meets **Doctor** meets **Entrepreneur**  
meets **Economist!**



**THANK YOU!  
QUESTIONS?**

Dr Jane Walsh

Connected Health Summer School, Florence

27 June 2017

